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PATENT  
Docket No. 2080-3-75

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Young-Sik Kim  
Serial No: 10/092,677  
Filed: March 5, 2002  
For: LENS FOR OPTICAL RECORDING AND  
REPRODUCING SYSTEM

Art Unit: 2873

Examiner: Unknown

**TRANSMITTAL OF INFORMATION DISCLOSURE  
STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington D.C. 20231, on  
January 31, 2003  
Date of Deposit  
Amit Sheth  
Name  
Amit Sheth 1/31/03  
Signature Date

In accordance with 37 CFR § 1.56 and 1.97, enclosed please find a copy of Form PTO-1449 listing the attached references which might be deemed material to the examination of the above identified application.

1. Non-English Language References

☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.

☐ The specification incorporates comments on the relevancy of Non-English language references.

☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

2. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the national application other than a continued prosecution application (CPA) or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 1.114, whichever event occurs last. 37 C.F.R. § 1.97(b) (as amended September 2000).

3. ☐ The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either: 37 C.F.R. § 1.97(c) (as amended September 2000).

(1) a final action under § 1.113 or

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- (2) a notice of allowance under § 1.311, whichever occurs first.

**STATEMENT OR FEE**

A. Included with this transmittal is

- i. ☐ a certification (set forth below) in accordance with 37 C.F.R. § 1.97(e). (If for any reason the certificate set forth below should be unsatisfactory, the Commissioner is provisionally authorized to charge the \$180 fee (37 C.F.R. § 1.17(p)) to Deposit Account No. \_\_\_\_\_. A copy of this sheet is enclosed.)

**OR**

- ii. ☐: the attached fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

4. ☐: The information disclosure statement transmitted herewith is being filed *after* a final action under § 1.113 or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee. 37 C.F.R. § 1.97(d) (as amended September 2000).

**STATEMENT AND FEE**

A. In accordance with the requirements of 37 C.F.R. § 1.97(d):

- i. Set forth below is a certification as specified in 37 C.F.R. § 1.97(e); **AND**
- ii. Applicant submits the petition fee set forth in § 1.17(p). (\$180.00).

**STATEMENT**

(Required if 3Ai or 4 above is marked)

5. I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. § 1.97(e)(1).

**OR**

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. § 1.97(e)(2).

6. If it should be determined that for any reason either an insufficient fee or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 502290. A copy of this petition is enclosed.

Respectfully submitted,

Lee & Hong

Date: January 31, 2003

By: \_\_\_\_\_



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